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**Subject: Risk Management Strategy Review**

**1. Purpose**

This paper is coming to the Board of NHS Lanarkshire:

For review		For endorsement		For approval	X
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**2. Route to the Board of NHS Lanarkshire**

This paper has been:

Prepared		Reviewed	X	Endorsed	X
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This paper has been considered and reviewed by the corporate management team at its meeting of 23<sup>rd</sup> May 2022 and endorsed by the Audit Committee at its meeting on 7<sup>th</sup> June 2022. In order to meet an audit requirement, approval is now sought from the Board of NHS Lanarkshire

**3. Background and Summary of Key Issues**

The NHS Lanarkshire Risk Management Strategy is usually scheduled for annual review. This year, the scheduled review for December 2021 was rescheduled to May 2022 due to significant system pressures resulting from the emergence and impact of Covid-19: Omicron Variant.

During the early part of 2022, the strategy has been considered, initially through discussion with, and comments from Mr A Boyle, MBE, Non-Executive Director of NHS Lanarkshire, who expressed an interest in the review of the strategy. The strategy was further considered by the corporate risk manager and the board secretary in preparation for review by the corporate management team. This included the findings from a recent internal audit.

The key changes to note and approve are:

- Formatting of the front page providing clarity on the endorsing role of the Audit Committee (internal audit recommendation)

- Change in title of NHS Lanarkshire strategy from ‘Achieving Excellence’ to ‘Our Health Together’
- Addition of the Monklands Replacement Project Governance Committee in both Section 2.4 (page 9) and in the schematic at Appendix A
- Change to the role of the Chief Executive (page 8) to be more explicit about maintaining the system of internal control
- Change to the Board responsibility (page 9) to be more explicit about the overall responsibility for vicarious liability
- Review of the objectives section 3.1 (page 11) to be less subjective
- Formatting changes throughout the document.

The progression of the improved assurance mapping work had been suspended for several months of this year, however, is now back on track. This has the potential to impact on the risk appetite and tolerance that will all be considered in detail at the next scheduled review, or earlier if deemed necessary by the Board of NHS Lanarkshire.

#### 4. Strategic Context

This paper links to the following:

Corporate objectives	X	LDP		Government policy	
Government directive		Statutory requirement		AHF / local policy	
Urgent operational issue		Other			

#### 5. Contribution to Quality

This paper aligns to the following elements of safety and quality improvement:

##### **Three Quality Ambitions:**

Safe	X	Effective	X	Person Centred	X
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#### 6. Measures for Improvement

The risk management strategy is usually subject to annual review. This year, the review was rescheduled from December 2021 to May 2022 resulting from the emergence and impact of Covid-19: Omicron Variant.

#### 7. Financial Implications

There are no known financial implications for this report.

#### 8. Risk Assessment/Management Implications

There is no risk analysis required at this time.

## 9. Fit with Best Value Criteria

This paper aligns to the following best value criteria:

Vision and leadership	X	Effective partnerships		Governance and accountability	X
Use of resources	X	Performance management	X	Equality	
Sustainability					

## 10. Equality and Diversity Impact Assessment / Fairer Scotland Duty Assessment

An E&D / FSD Impact Assessment has been completed

Yes   
No

An impact assessment is not required for this report, as the approach applies equally.

## 11. Consultation and Engagement

The risk management strategy has been reviewed by members of the CMT in May 2022 and endorsed by the Audit Committee in June 2022.

## 12. Actions for the Board of NHS Lanarkshire

The Board is asked to:

Consider		Approve	X	Identify further actions	
Note		Accept the risk identified		Ask for a further report	

by

- Noting the strategy has been reviewed by CMT at its meeting of 23<sup>rd</sup> May 2022;
- Noting the strategy has been endorsed by the Audit Committee at its meeting of 7<sup>th</sup> June 2022;
- Approving the strategy.

## 13. Further Information

For further information about any aspect of this paper, please contact:

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