

NHS Lanarkshire  
27<sup>th</sup> January 2021

Lanarkshire NHS Board  
NHS Board  
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**Subject: Risk Management Strategy Review**

### 1. Purpose

This paper is coming to the Board:

For approval	X	For endorsement		To note	
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### 2. Route to the Board

The content of this paper has been:

Prepared		Reviewed	X	Endorsed	
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by the Corporate Management Team on 21<sup>st</sup> December 2020 and the Audit Committee on 19 January 2021.

### 3. Background and Summary of Key Issues

The NHS Lanarkshire Risk Management Strategy is usually scheduled for annual review. This year, the scheduled review for May 2020 was rescheduled to December 2020 due to Covid-19.

The Strategy has been subject to review and updated to reflect changes in personnel (new Chief Executive), changes to the hosting of claims function from Quality to Corporate Affairs and improvements to the Memorandum of Agreement pro-forma for recording of risks that impact across partner agencies. These changes that do not materially alter the Strategy.

The progression of the improved assurance mapping work had been suspended for several months of this year, however, is now back on track. This has the potential to impact on the risk appetite and tolerance that will all be considered in detail at the next scheduled review for December 2021.

#### 4. Strategic Context

This paper links to the following:

Corporate objectives	X	AOP		Government policy	
Government directive		Statutory requirement		AHF / local policy	
Urgent operational issue		Other			

#### 5. Contribution to Quality

This paper aligns to the following elements of safety and quality improvement:

##### **Three Quality Ambitions:**

Safe	X	Effective	X	Person Centred	X
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#### 6. Measures for Improvement

The Strategy is usually subject to annual review. This year, the review was rescheduled from May 2020 to December 2020 resulting from Covid-19, and will require a more detailed review in 2021 following the work being progressed around assurance mapping (which was also suspended due to Covid-19).

#### 7. Financial Implications

There are no known financial implications for this report.

#### 8. Risk Assessment/Management Implications

No further risk analysis is required at this stage.

#### 9. Fit with Best Value Criteria

This paper aligns to the following best value criteria:

Vision and leadership	X	Effective partnerships		Governance and accountability	X
Use of resources	X	Performance management	X	Equality	
Sustainability					

#### 10. Equality and Diversity Impact Assessment

An E&D Impact Assessment has been completed

Yes   
No

An equality and diversity impact assessment is not required for this report, as the approach applies equally.

## 11. Consultation and Engagement

The risk management strategy has been reviewed by members of the CMT and presented to the Audit Committee in January 2021.

## 12. Actions for the Board of NHS Lanarkshire

Board Members are asked to:

Approve	X	Endorse		Identify further actions	
Note		Accept the risk identified		Ask for a further report	

- Note that review was undertaken by members of CMT;
- Note the minor changes to reflect organisation and personnel change;
- Note the improvements to Appendix B (Memorandum of Understanding) for recording of risks;
- Note there will be a more detailed review scheduled for December 2021 to align with the work being undertaken around assurance mapping that will potentially impact on the risk appetite and risk tolerance;
- Note that Risk Management Strategy was discussed at the Audit Committee on 19 January 2021; and
- Approve the Risk Management Strategy.

## 13. Further Information

For further information about any aspect of this paper, please contact:

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