





































Clinical

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	0	1	1	PEOPLE & ORGANISATION	1
Death	5	4	1	ORGANISATION	0
Property Damage	0	0	0	PUBLIC	1
Financial	0	0	1	STAFF	0
Non Compliance	1	0	3	PATIENT	16
Legislative Duties	0	0	0	STAFF & PATIENTS	5
Disruption	4	7	0	ALL	0
Reputation	1	3	14	OTHER	0
Patient Care	12	7	0		
Other	0	0	0		

RATE  
4 Red  
11 Amber

Command Group totals	
4 STRATEGIC	
11 TACTICAL	
8 OPERATIONAL	
23	

HR

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	1	2	0	PEOPLE & ORGANISATION	1
Death	2	1	0	ORGANISATION	0
Property Damage	0	0	0	PUBLIC	0
Financial	1	0	0	STAFF	0
Non Compliance	0	1	1	PATIENT	1
Legislative Duties	0	0	0	STAFF & PATIENTS	5
Disruption	3	0	0	ALL	0
Reputation	0	0	2	OTHER	0
Patient Care	0	3	3		
Other	0	0	0		

RATE  
0 Red  
2 Amber

Command Group totals	
0 STRATEGIC	
2 TACTICAL	
5 OPERATIONAL	
7	

Financial

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	0	0	0	PEOPLE & ORGANISATION	0
Death	0	0	0	ORGANISATION	1
Property Damage	0	0	0	PUBLIC	0
Financial	1	0	0	STAFF	0
Non Compliance	0	0	0	PATIENT	0
Legislative Duties	0	0	0	STAFF & PATIENTS	0
Disruption	0	0	0	ALL	0

RATE  
1 Red  
0 Amber

Command Group totals	
1 STRATEGIC	
0 TACTICAL	
0 OPERATIONAL	
1	

Reputation	0	1	0	OTHER	0
Patient Care	0	0	0		
Other	0	0	0		

Comms

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	0	0	0	PEOPLE & ORGANISATION	2
Death	0	0	0	ORGANISATION	4
Property Damage	0	0	0	PUBLIC	0
Financial	0	0	0	STAFF	0
Non Compliance	0	0	0	PATIENT	0
Legislative Duties	0	0	0	STAFF & PATIENTS	0
Disruption	0	0	0	ALL	0
Reputation	5	2	0	OTHER	0
Patient Care	2	1	0		
Other	0	0	0		

RATE  
0 Red  
1 Amber

Command Group totals  
0 STRATEGIC  
1 TACTICAL  
6 OPERATIONAL  
7

InfoDigitalTech

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	0	2	0	PEOPLE & ORGANISATION	4
Death	2	0	0	ORGANISATION	1
Property Damage	0	0	0	PUBLIC	0
Financial	0	0	0	STAFF	2
Non Compliance	1	0	1	PATIENT	1
Legislative Duties	0	0	0	STAFF & PATIENTS	3
Disruption	2	3	1	ALL	0
Reputation	0	1	3	OTHER	0
Patient Care	3	3	1		
Other	0	0	0		

RATE  
0 Red  
7 Amber

Command Group totals  
0 STRATEGIC  
7 TACTICAL  
3 OPERATIONAL  
10

Logistics

<i>Category:</i>	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	<i>Category:</i>	AT RISK GROUP(S)
Injury	0	1	0	PEOPLE & ORGANISATION	0
Death	3	0	1	ORGANISATION	1
Property Damage	0	0	0	PUBLIC	0
Financial	0	0	0	STAFF	1
Non Compliance	1	0	2	PATIENT	1

RATE  
0 Red  
3 Amber

Command Group totals  
0 STRATEGIC  
3 TACTICAL  
3 OPERATIONAL  
6

Legislative Duties	0	0	0	STAFF & PATIENTS	3
Disruption	2	2	0	ALL	0
Reputation	0	0	1	OTHER	0
Patient Care	0	3	1		
Other	0	0	0		



PH Care Homes	Category:	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	Category:	AT RISK GROUP(S)
	Injury		0	0	0	PEOPLE & ORGANISATION
Death		1	0	0	ORGANISATION	0
Property Damage		0	0	0	PUBLIC	0
Financial		0	0	0	STAFF	0
Non Compliance		2	0	0	PATIENT	1
Legislative Duties		0	0	0	STAFF & PATIENTS	4
Disruption		2	2	0	ALL	0
Reputation		0	0	6	OTHER	0
Patient Care		2	5	0		
Other		0	0	0		

RATE  
3 Red  
4 Amber

Command Group totals
3 STRATEGIC
4 TACTICAL
0 OPERATIONAL
7

Public Health	Category:	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM	Category:	AT RISK GROUP(S)
	Injury		0	0	0	PEOPLE & ORGANISATION
Death		0	0	0	ORGANISATION	0
Property Damage		0	0	0	PUBLIC	0
Financial		0	0	0	STAFF	0
Non Compliance		1	0	1	PATIENT	1
Legislative Duties		0	0	0	STAFF & PATIENTS	4
Disruption		5	3	0	ALL	0
Reputation		0	2	4	OTHER	0
Patient Care		0	2	1		
Other		0	0	0		

RATE  
2 Red  
5 Amber

Command Group totals
2 STRATEGIC
5 TACTICAL
0 OPERATIONAL
7

Total	Category:	PRIMARY	SECONDARY	TERTIARY	Category:	AT RISK
		HARM	HARM	HARM		GROUP(S)
	Injury	1	6	1	PEOPLE & ORGANISATION	8
	Death	12	5	2	ORGANISATION	7
	Property Damage	0	0	0	PUBLIC	1
	Financial	2	0	1	STAFF	3
	Non Compliance	3	1	7	PATIENT	19
	Legislative Duties	0	0	0	STAFF & PATIENTS	16
	Disruption	11	12	1	ALL	0
	Reputation	6	7	20	OTHER	0
	Patient Care	17	17	5		
	Other	0	0	0		

Total no.	Group
7	STRATEGIC
29	TACTICAL
25	OPERATIONAL
<u>61</u>	

COMMAND LEVEL	RECORDING	REPORTING	OVERSIGHT	ESCALATION																														
<b>STRATEGIC</b>	Recorded and updated by owners with the corporate risk manager under the control of the strategic lead	Brief report prepared for every meeting including: <ul style="list-style-type: none"> <li>All very high graded risks</li> <li>All risks with major or extreme impact</li> </ul> Discuss, consider & approve mitigation plans	<b>P R O B A B I L I T Y</b> <table border="1"> <thead> <tr> <th colspan="5">IMPACT</th> </tr> <tr> <th>M</th> <th>H</th> <th>H</th> <th>VH</th> <th>VH</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>M</td> <td>H</td> <td>VH</td> <td>VH</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>H</td> <td>H</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>M</td> <td>H</td> </tr> <tr> <td>L</td> <td>L</td> <td>L</td> <td>M</td> <td>M</td> </tr> </tbody> </table>	IMPACT					M	H	H	VH	VH	L	M	H	VH	VH	L	M	M	H	H	L	M	M	M	H	L	L	L	M	M	Chief Executive will report and escalate very high graded risks to the Board and the Scottish Government where necessary.
				IMPACT																														
				M	H	H	VH	VH																										
				L	M	H	VH	VH																										
				L	M	M	H	H																										
L	M	M	M	H																														
L	L	L	M	M																														
<b>TACTICAL</b>	Recorded and updated by owners under the control of the tactical lead	Brief report on: <ul style="list-style-type: none"> <li>New high graded risks or risks increased to high</li> <li>Risks changing status from high to very high</li> <li>Medium risks with major or extreme impact, monitoring any changes</li> </ul>	<b>R O B A B I L I T Y</b> <table border="1"> <thead> <tr> <th colspan="5">IMPACT</th> </tr> <tr> <th>M</th> <th>H</th> <th>H</th> <th>VH</th> <th>VH</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>M</td> <td>H</td> <td>VH</td> <td>VH</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>H</td> <td>H</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>M</td> <td>H</td> </tr> <tr> <td>L</td> <td>L</td> <td>L</td> <td>M</td> <td>M</td> </tr> </tbody> </table>	IMPACT					M	H	H	VH	VH	L	M	H	VH	VH	L	M	M	H	H	L	M	M	M	H	L	L	L	M	M	Very high graded risks escalated to Gold – Strategic.  Medium with major/extreme impact will also be overseen by Strategic
				IMPACT																														
				M	H	H	VH	VH																										
				L	M	H	VH	VH																										
				L	M	M	H	H																										
L	M	M	M	H																														
L	L	L	M	M																														
<b>OPERATIONAL</b>	Recorded and updated by owners	Brief report summarising: <ul style="list-style-type: none"> <li>Number of risks by assessed level of risk</li> <li>Monitoring and oversight through Operational Command Groups</li> </ul>	<b>R O B A B I L I T Y</b> <table border="1"> <thead> <tr> <th colspan="5">IMPACT</th> </tr> <tr> <th>M</th> <th>H</th> <th>H</th> <th>VH</th> <th>VH</th> </tr> </thead> <tbody> <tr> <td>M</td> <td>M</td> <td>H</td> <td>VH</td> <td>VH</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>H</td> <td>H</td> </tr> <tr> <td>L</td> <td>M</td> <td>M</td> <td>M</td> <td>H</td> </tr> <tr> <td>L</td> <td>L</td> <td>L</td> <td>M</td> <td>M</td> </tr> </tbody> </table>	IMPACT					M	H	H	VH	VH	M	M	H	VH	VH	L	M	M	H	H	L	M	M	M	H	L	L	L	M	M	High graded risks escalated to Silver – Tactical  Medium with major/extreme impact will also be overseen by Tactical
				IMPACT																														
				M	H	H	VH	VH																										
				M	M	H	VH	VH																										
				L	M	M	H	H																										
L	M	M	M	H																														
L	L	L	M	M																														

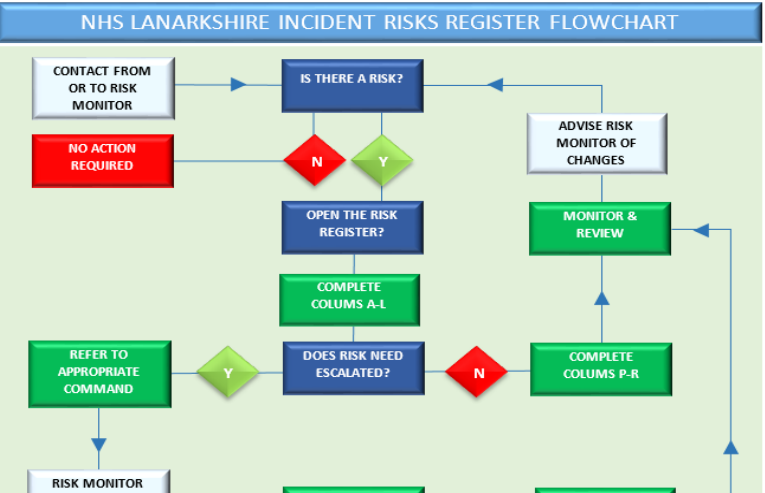
<b>VH</b>	Additional Controls
<b>H</b>	Manage & Mitigate *
<b>M</b>	Monitor / Manage
<b>L</b>	Make Do / Accept

\*note the tolerance for the corporate risk is assessed as high recognising mitigation will be challenging due to the external nature of the risk

R O B A B I L I T Y	IMPACT				
	1	2	3	4	5
5	5	10	15	20	25
4	4	8	12	16	20
3	3	6	9	12	15
2	2	4	6	8	10
1	1	2	3	4	5

<b>M</b>	Risk impact severe enough to require monitoring at all levels
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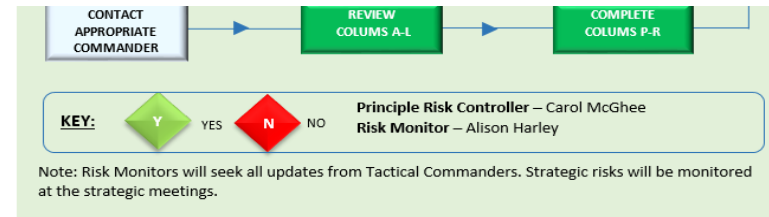
REFERENCE NUMBERING	
<b>STRATEGIC</b>	Ref.
Strategic	STR
<b>TACTICAL</b>	Ref.
Clinical	CL
Communications	COM
Financial Control	FC
Human Resource	HR
Logistics	LOG
Information & Digital Technology	IDT
Care Homes	CH
Public Health	PbH
<b>OPERATIONAL</b>	Ref.
Clinical Reference Group	CRG
Acute	AC
Public Health	PbH
UH Monklands	UHHM
UH Hairmyres	UHH
UH Wishaw	UHW
Pharmacy	PH



Primary Care & HSCP	PHSC
Extproviders/transport/decontamination	EXPT
Procurement	PRO
PSSD	PSSD

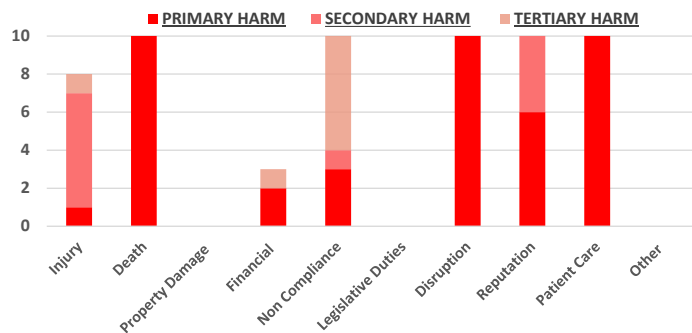
**REFERENCE NUMBER MAKE UP**

	Tactical group ref	Operational group ref	Number
<i>Example</i>	CRG	UHM	01

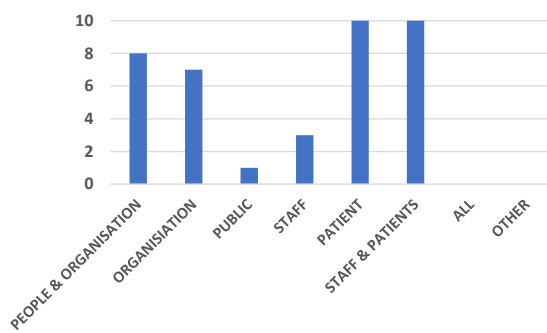


## NHS LANARKSHIRE COVID-19 INCIDENT RISK REGISTER DASHBOARD

### RISK & THREAT ASSESSMENT



### AT RISK GROUPS



Category:	PRIMARY HARM	SECONDARY HARM	TERTIARY HARM
Injury	1	6	1
Death	12	5	2
Property Damage	0	0	0
Financial	2	0	1
Non Compliance	3	1	7
Legislative Duties	0	0	0
Disruption	11	12	1
Reputation	6	7	20
Patient Care	17	17	5
Other	0	0	0

Category:	AT RISK GROUP(S)
PEOPLE & ORGANISATION	8
ORGANISATION	7
PUBLIC	1
STAFF	3
PATIENT	19
STAFF & PATIENTS	16
ALL	0
OTHER	0

### Risks by Rating: Very High & High

