

NHS Board
27 March 2019

Lanarkshire NHS Board
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SUBJECT: CODE OF CORPORATE GOVERNANCE

1. PURPOSE

This paper is coming to the Board:

For approval	<input checked="" type="checkbox"/>	For endorsement	<input type="checkbox"/>	To note	<input type="checkbox"/>
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This paper highlights changes that are required in Section A of the Code of Corporate Governance.

2. ROUTE TO THE BOARD

This paper has been:

Prepared	<input checked="" type="checkbox"/>	Reviewed	<input type="checkbox"/>	Endorsed	<input type="checkbox"/>
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by the Board Secretary to take account of developments and changes that require to be reflected in the Code.

3. SUMMARY OF KEY ISSUES

Members will note that as part of the developments underpinning the Corporate Governance Blueprint (discussed at item 13 of the Board agenda) work is underway to establish templates for Board Standing Orders, Standing Financial Instructions, Board agendas and reports and Committee Terms of Reference. In light of these developments in 2019 there has only been a minor changes to the current Code, which was comprehensively updated and approved in 2018.

Two changes are required. One to update factual information about the number of Non Executives appointed to the NHS Board, and the second to reflect the Strategic Partnership arrangement with Glasgow Caledonian University.

Composition of the Board

Section A --Introduction - Paragraph 5 - Composition of the Board

The composition of the Board needs to be updated as we now have 6 Non Executive Lay Members following the appointment of two Non Executives in September 2019 (Dr Thomson and Mr Moore) to replace Mr Tom Steele, who was appointed to the Chair of the Scottish Ambulance Service in June 2018.

Strategic Partnership with Glasgow University

Section A - New Paragraph 13

The additional section is appended below

Both NHSL and the University Court of Glasgow Caledonian University (GCU) entered into a Strategic Partnership on the 8th March 2017. This strategic collaboration seeks to deliver a shared vision of the NHSL strategy, Achieving Excellence and the GCU vision of being the University for the Common Good. Through this joint arrangement, both parties are seeking to transform lives by delivering proven innovative health and social care approaches, while enriching communities and innovating for health, social, and economic impact. This resulted in the Board gaining University status.

The commitment to work collaboratively has resulted in the establishment of a Strategic Board, to agree on a broad plan of action. In addition, the Strategic Board has established three joint workstreams (Research and Innovation Learning and Teaching Workforce and Service Capacity

On behalf of NHSL, the Director for Allied Health Professions is the lead for the development of the GCU Strategic Partnership.

Regular reports re provided to the NHS Board on progress.

The Board is also seeking to work collaboratively with the University of the West of Scotland and Strathclyde University.

Subsequent paragraphs 13 (Review) and Feedback (14) to be renumbered.

The updated Code is attached.

Each Governance Committee will submit an Annual Report to the May Board meeting, and confirm that their Terms of Reference have been reviewed. No changes have been notified and the review dates will be updated accordingly. This will also incorporate an update following a review (which is underway) of Section G - Scheme of Delegation : Healthcare Quality Assurance and Improvement which needs to be updated in the light of the establishment of the Population Health, Primary Care and Community Services Governance Committee. The Board will also receive an updated Register of Interests.

The Code can be accessed either through the Board Portal Admin Control, or on Firstport.

The updated Code will be published on the Board's website after the Board meeting.

4. STRATEGIC CONTEXT

This paper links to the following:

Corporate objectives	<input type="checkbox"/>	LDP	<input type="checkbox"/>	Government policy	<input type="checkbox"/>
Government directive	<input type="checkbox"/>	Statutory requirement	<input type="checkbox"/>	AHF/local policy	<input type="checkbox"/>
Urgent operational issue	<input type="checkbox"/>	Other	<input type="checkbox"/>		

The Code of Corporate Governance effectively provides a framework to support all of the above.

5. CONTRIBUTION TO QUALITY

This paper aligns to the following elements of safety and quality improvement:

Three Quality Ambitions:

Safe	<input type="checkbox"/>	Effective	<input type="checkbox"/>	Person Centred	<input type="checkbox"/>
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The Code of Corporate Governance provides a framework which defines the business principles of the NHS Board and the Organisation, in support of the delivery of safe, effective, person-centred care and the undernoted Quality Outcomes.

Six Quality Outcomes:

Everyone has the best start in life and is able to live longer healthier lives; (Effective)	<input type="checkbox"/>
People are able to live well at home or in the community; (Person Centred)	<input type="checkbox"/>
Everyone has a positive experience of healthcare; (Person Centred)	<input type="checkbox"/>
Staff feel supported and engaged; (Effective)	<input type="checkbox"/>
Healthcare is safe for every person, every time; (Safe)	<input type="checkbox"/>
Best use is made of available resources. (Effective)	<input type="checkbox"/>

6. MEASURES FOR IMPROVEMENT

This section is not directly relevant.

7. FINANCIAL IMPLICATIONS

There are no financial implications.

8. RISK ASSESSMENT/MANAGEMENT IMPLICATIONS

There are no obvious material risks.

9. FIT WITH BEST VALUE CRITERIA

This paper aligns to the following best value criteria:

Vision and leadership	<input checked="" type="checkbox"/>	Effective partnerships	<input checked="" type="checkbox"/>	Governance and accountability	<input checked="" type="checkbox"/>
Use of resources	<input checked="" type="checkbox"/>	Performance management	<input checked="" type="checkbox"/>	Equality	<input checked="" type="checkbox"/>
Sustainability	<input checked="" type="checkbox"/>				

10. EQUALITY AND DIVERSITY IMPACT ASSESSMENT

An E&D Impact Assessment has not been completed, because the Code of Corporate Governance equally encompasses all aspects of the Board's role in the discharge of its business.

11. CONSULTATION AND ENGAGEMENT

Section A of the Code of Corporate Governance, with proposed amendments, was made in light of changes agreed by the Board in 2017, and respective Committees, and in consultation with the Board Chair. The SFIs have already been approved by the Audit Committee.

12. ACTIONS FOR THE BOARD

The Board is asked to:

Approve	<input checked="" type="checkbox"/>	Endorse	<input type="checkbox"/>	Identify further actions	<input type="checkbox"/>
Note	<input type="checkbox"/>	Accept the risk identified	<input type="checkbox"/>	Ask for a further report	

The NHS Board is asked to:

- (a) approve the changes made to the factual information in Section 5 of the Introduction to reflect that the Board is comprised of 6 Non Executive Lay member (not 5 as quoted);
- (b) approve the addition of a section in the Code to reflect the Strategic Partnership with Glasgow Caledonian University;
- (c) note that the updated Code of Corporate Governance and Standing Financial Instructions will be uploaded on to the Board's public facing web site in April 2019; and
- (d) note that any National output from the Joint Steering Group driving the Corporate Governance Blueprint will be considered and a further report made to the Board when these are available.

13. FURTHER INFORMATION

For further information about any aspect of this paper, please contact Paul Cannon, Board Secretary. Telephone: 01698 858181 e-mail paul.cannon@lanarkshire.scot.nhs.uk