

CORPORATE RISK REGISTER  
RECORD OF CHANGE  
REPORT PREPARED FOR THE BOARD OF NHS LANARKSHIRE 28<sup>th</sup> AUGUST 2019

Risk ID	Description of Risk	Current Risk Level	Risk Owner	Note of Review / Change	Date Reported through CMT
1587	There is a risk that the 2 site model of delivery of an Out of Hours (OOH) service cannot be sustained resulting from national and local disengagement of salaried and sessional GMPs, and recently the nursing workforce, resulting in the potential to adversely impact on patient care, partner services including A&E, the national performance targets and the reputation of the partner agencies.	Very High	V DeSouza	Mitigating controls and action fully reviewed and updated. Remains a <b>Very High</b> graded risk.	19 <sup>th</sup> August 2019
1703	There is a risk that NHSL cannot fully respond to the safe and effective management of self-presenting casualties contaminated with chemical, biological or radiological substances as there is insufficiency in trained staff with supporting systems to safely deploy, resulting in the potential for an adverse impact on staff, person(s) affected and potentially business continuity.	High	G Docherty	New action being progressed to set out a full Standard Operating Procedure for contaminated person (s) self-presenting to ED with processes and procedures around cohort of necessary responders, risk assessment, designated person in control, advise from other emergency services, internal management and inspection of equipment, training framework and site specific risk assessments. Remains a <b>High</b> graded risk.	19 <sup>th</sup> August 2019
1710	There is a risk that NHSL will fail to identify harm to any vulnerable person, child or adult, or prevent harm to others resulting from the complexities of opportunity with the potential for harm to occur, impacting adversely on the reputation of NHSL.	Medium	I Barkby	Some changes to the controls for this <b>Medium</b> graded risk to reflect the appointment of the new Head of Public Protection and a further review of the service.	19 <sup>th</sup> August 2019
1431	There is a risk that NHSL cannot sustain the phase one 2 site model interim move for the trauma and orthopaedic service in the long term, resulting from insufficient senior clinical decision-makers. The proposed phase 1a of the redesign will enable additional resilience to the service and must be implemented as part of the Healthcare Strategy: Achieving Excellence.	Medium	H Knox	Noted that as part of the overall Achieving Excellence and the T&O redesign programme, a further 24 beds will be built in UHW (conversion of non-clinical area) to accommodate required clinical space with a delay in implementation of phase 1a until September 2020 instead of September 2019. Level of risk remains assessed as <b>Medium</b> .	19 <sup>th</sup> August 2019
1611	There is a risk that NHSL will not meet and sustain the agreed locally adjusted unscheduled care performance targets as profiled for the year 19/20, with the potential to adversely impact on patient experience and the reputation of NHSL.	High	H Knox	Scottish Government not signed off on any variation from the 95% expected compliance. NHSL position is under 95% resulting from high volume of attendances at A&E transitioning to admissions, compounded by the OOH sustainability. Remains a <b>High</b> graded risk with additional controls in place : -Investment in REACT room with triaging by Medical Consultants -Additional staffing for Flow 1	19 <sup>th</sup> August 2019

This is a supplementary record of change for corporate risks. New, closed and Significant Material Changes are noted within the Board paper.

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				-Redirect Plus - patients redirected to treatment room facilities	
1799	There is a risk that the contracted company ISS are not able to deliver and maintain the necessary range of support services to UHH through effective business continuity planning in the event that industrial action is confirmed. Loss of support services has the potential to adversely impact on other staff members and continuity of delivery of clinical services across UHH.	High	C Lauder	Assurance Committee changed from Planning, Performance and Resource Committee (PPRC) to Staff Governance Committee. Significant discussion with national officers and GMB with weekly monitoring of the position. No notification of industrial action at present. Risk level remains <b>High</b> at present.	19 <sup>th</sup> August 2019
1669	There is a risk that NHSL is not working in compliance with the data protection legislation, including General Data Protection Regulations (GDPR) and Data Protection Act 2018 (DPA2018), resulting from human error; lack of understanding; ineffective practice and process with the potential to adversely impact on the reputation of NHSL and incur significant financial penalties	High	D Wilson	No significant change to the assessed level of risk or controls, however, continue with the development and implementation of an IG Dashboard for implementation no later than December 2019. Risk remains assessed as <b>High</b> .	19 <sup>th</sup> August 2019
1728	There is a risk that contracted NHS beds and out of area placements could be lost because of the Four Seasons Healthcare Group's current financial challenges, leading to the loss of capacity of care of the elderly and mental health continuing care capacity and an immediate need to transition current patients to alternative provision.	High	R McGuffie	No change to the risk level, noting the continuous national negotiations. Expected outcome in more detail for September 2019. Minor change to the description to include 'out of area placements' Risk remains assessed as <b>High</b>	19 <sup>th</sup> August 2019
1724	There is a risk that the delay in progressing the Monklands Replacement will adversely impact on the Board's delivery of strategic change outlined in 'Achieving Excellence'. The poor fabric of the building and the ever deteriorating plumbing & fire evacuation challenges continue to be well documented and escalated to Scottish Government.	High	C Campbell	Title, description and controls for the risk changed to reflect the more contemporary position and remains a <b>High</b> graded risk.	19 <sup>th</sup> August 2019

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