

NHS Board:  
28 March 2018

Lanarkshire NHS Board  
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## SUBJECT: CODE OF CORPORATE GOVERNANCE

### 1. PURPOSE

This paper is coming to the Board:

For approval	<input checked="" type="checkbox"/>	For endorsement	<input type="checkbox"/>	To note	<input type="checkbox"/>
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This paper introduces an updated section of the Code of Corporate Governance.

### 2. ROUTE TO THE BOARD

This paper has been:

Prepared	<input checked="" type="checkbox"/>	Reviewed	<input type="checkbox"/>	Endorsed	<input type="checkbox"/>
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by the Board Secretary, based on the Review of Corporate Governance undertaken in September 2017, and to take account of changes arising from that review.

### 3. SUMMARY OF KEY ISSUES

Section A of the Code of Corporate Governance has been updated to reflect changes required since the Code was last reviewed in 2016, and to take account of the recommendations made around the review of governance structures in September 2017.

The format is in tracked changes to allow Members to identify where changes are recommended. It would be helpful if Members had any additional queries or suggestions to make prior to the Board meeting to flag these to the Board Secretary, so that these can be captured in the discussion at the Board meeting.

The Governance Committee Terms of Reference have been submitted to respective Committees in their current formats, and these are being updated to reflect a common format, and will be uploaded on to FirstPort on Monday 26th March 2018.

<http://firstport2/meetings/corporate/nhs-lanarkshire-board/default.aspx>

Sections B, C and D remain unaltered.

Sections E & F - Standing Financial Instructions / Scheme of Delegation (Financial) were updated and discussed at the March 2018 Audit Committee and are in the process of being updated. These will also be available on FirstPort.

Sections G and H will be updated in light of the revised Terms of Reference and will also be available on FirstPort.

Section I - Risk Management will be presented along with the revised Risk Management Strategy at the May 2018 meeting so that these are aligned.

The updated Code, in entirety, will then be published on the Board's website after the Board meeting. This will address one of the issues raised in the review conducted in 2017 by Internal Audit that the Code was not made available on the public facing web site.

#### 4. STRATEGIC CONTEXT

This paper links to the following:

Corporate objectives	<input type="checkbox"/>	LDP	<input type="checkbox"/>	Government policy	<input type="checkbox"/>
Government directive	<input type="checkbox"/>	Statutory requirement	<input type="checkbox"/>	AHF/local policy	<input type="checkbox"/>
Urgent operational issue	<input type="checkbox"/>	Other	<input type="checkbox"/>		<input type="checkbox"/>

The Code of Corporate Governance effectively provides a framework to support all of the above.

#### 5. CONTRIBUTION TO QUALITY

This paper aligns to the following elements of safety and quality improvement:

*Three Quality Ambitions:*

Safe	<input type="checkbox"/>	Effective	<input type="checkbox"/>	Person Centred	<input type="checkbox"/>
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The Code of Corporate Governance provides a framework which defines the business principles of the NHS Board and the Organisation, in support of the delivery of safe, effective, person-centred care and the undernoted Quality Outcomes.

*Six Quality Outcomes:*

Everyone has the best start in life and is able to live longer healthier lives; (Effective)	<input type="checkbox"/>
People are able to live well at home or in the community; (Person Centred)	<input type="checkbox"/>
Everyone has a positive experience of healthcare; (Person Centred)	<input type="checkbox"/>
Staff feel supported and engaged; (Effective)	<input type="checkbox"/>
Healthcare is safe for every person, every time; (Safe)	<input type="checkbox"/>
Best use is made of available resources. (Effective)	<input type="checkbox"/>

#### 6. MEASURES FOR IMPROVEMENT

This section is not directly relevant.

#### 7. FINANCIAL IMPLICATIONS

There are no financial implications.

#### 8. RISK ASSESSMENT/MANAGEMENT IMPLICATIONS

There are no obvious material risks.

## 9. FIT WITH BEST VALUE CRITERIA

This paper aligns to the following best value criteria:

Vision and leadership	<input checked="" type="checkbox"/>	Effective partnerships	<input checked="" type="checkbox"/>	Governance and accountability	<input checked="" type="checkbox"/>
Use of resources	<input checked="" type="checkbox"/>	Performance management	<input checked="" type="checkbox"/>	Equality	<input checked="" type="checkbox"/>
Sustainability	<input checked="" type="checkbox"/>				

## 10. EQUALITY AND DIVERSITY IMPACT ASSESSMENT

An E&D Impact Assessment has not been completed, because the Code of Corporate Governance equally encompasses all aspects of the Board's role in the discharge of its business.

## 11. CONSULTATION AND ENGAGEMENT

Section A of the Code of Corporate Governance, with proposed amendments, was made in light of changes agreed by the Board in 2017, and respective Committees, and in consultation with the Board Chair. The SFIs have already been approved by the Audit Committee.

## 12. ACTIONS FOR THE BOARD

The Board is asked to:

Approve	<input checked="" type="checkbox"/>	Endorse	<input type="checkbox"/>	Identify further actions	<input type="checkbox"/>
Note	<input type="checkbox"/>	Accept the risk identified	<input type="checkbox"/>	Ask for a further report	

**The NHS Board is asked to:**

- (a) approve the updated Section A of the Code of Conduct;
- (b) note that the Governance Committee Terms of Reference have been reviewed by respective Committees and will be reformatted and uploaded on to FirstPort;
- (c) note that an updated Section on Risk Management will be brought to the May Board meeting along with the updated Risk Management Strategy; and
- (d) note that the updated Code of Corporate Governance will be uploaded on to the Board's public facing web site in April 2018.

## 13. FURTHER INFORMATION

For further information about any aspect of this paper, please contact Paul Cannon, Board Secretary. Telephone: 01698 858181 e-mail [paul.cannon@lanarkshire.scot.nhs.uk](mailto:paul.cannon@lanarkshire.scot.nhs.uk)